

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : Bernd TEIPEN  
SERIAL NO. : UNASSIGNED  
FILED : CONCURRENTLY  
FOR : METHOD FOR DIAGNOSING OPERATING STATES OF A  
SYNCHRONOUS PUMP, AND DEVICE FOR CARRYING OUT  
SAID METHOD

Certificate of Mailing By Express Mail Under 37 CFR 1.10

Express Mail "Mailing Label No.": EQ 681252330 US  
Date of Deposit : AUGUST 16, 2006  
I hereby certify that this paper and/or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. 1.10 on the date indicated above  
and is addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450,  
Alexandria, VA 22313-1450 on AUGUST 16, 2006.

Richard M. Goldberg  
(Name of Registered Representative  
and person mailing)

Richard M. Goldberg August 16, 2006  
(Signature and Date)

INFORMATION DISCLOSURE STATEMENT

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The Applicant and those individuals involved in the  
preparation and/or prosecution of the above-identified  
Application have become aware of the following references which  
the Examiner may consider material to the patentability of the  
above-identified Application:

| <u>U.S. PATENT NO./</u><br><u>PUBLICATION NO.</u> | <u>DATE</u> | <u>PATENTEE</u> |
|---|-------------|-----------------|
| 2002/0093306                                      | 07-18-2002  | Johnson et al   |
| 4,896,101   | 01-23-1990  | Cobb            |

IAP6 Rec'd PCT/PTO 16 AUG 2006

|           |            |                 |
|-----------|------------|-----------------|
| 5,362,206 | 11-08-1994 | Westerman et al |
| 5,577,890 | 11-26-1996 | Nielsen et al   |

| <u>PATENT NO.</u> | <u>COUNTRY</u> | <u>DATE</u> |
|-------------------|----------------|-------------|
| 0 246 769         | EPO            | 11-25-1987  |
| 0 326 893         | EPO            | 08-09-1989  |


One Form PTO/SB/08A is enclosed, which lists the above references, along with a copy of each foreign reference.

Copies of the U.S. patents are not submitted herewith, in accordance with the notice in the United States Patent and Trademark Office OG Notices: 05 August 2003. Copies of the two foreign references are enclosed.

A copy of the International Search Report, with an English-language translation thereof and a copy of the Written Communication of the International Search Authority are enclosed, which discusses the relevance of the foreign references. These satisfy the requirement for a concise explanation.

It is requested that the above-identified references be made of record in the present Application.

Respectfully submitted,

  
Richard M. Goldberg  
Attorney for Applicant  
Registration No. 28,215

25 East Salem Street  
Suite 419  
Hackensack, New Jersey 07601  
TEL: (201) 343-7775  
FAX: (201) 488-3884  
e-mail: goldbergpat@earthlink.net

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number

Filing Date

**First Named Inventor**

### Art Unit

Examiner Name

Attorney Docket Number

10/589521

August 18, 2006

Bernd TEIPEN

Sheet | 1

of 1

344/1/114

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          |                          | EP 0 246 769  | 11-25-1987                        |  |   |                |
|                          |                          | EP 0 326 893  | 08-09-1989                        |  |   |                |
|                          |                          |   |                                   |  |   |                |
|                          |                          |   |                                   |  |   |                |
|                          |                          |   |                                   |  |   |                |
|                          |                          |   |                                   |  |   |                |
|                          |                          |   |                                   |  |   |                |

**Examiner  
Signature**

Date  
Considered

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**